

TARGETED FINANCIAL SANCTIONS – REQUEST FOR IDENTIFICATION ASSISTANCE FORM

Individuals and entities located within Mongolia, and Mongolian individuals or entities located outside Mongolia, must not carry out financial transactions, provide financial services or make assets available to designated individuals or entities.

If a legal entity determines that it is holding assets that are owned or controlled, directly or indirectly by a designated person or entity, it must freeze the assets without delay and report this to the competent authority within 48 hours (Article 7.1.7 of the Anti-Terrorism Law). Reporting entities under Article 4.1 of the Anti-Money Laundering and Countering the Financing of Terrorism Act must also freeze such assets without delay (Article 6.2) and report this action under the Regulation specified in Article 5.14.

If you are uncertain whether the assets you are holding are subject to the above obligations, you can use this form to seek verification.

Assets suspected of being subject to targeted financial sanctions obligations must be frozen while seeking verification.

Completed forms should be sent to the following contact points

- Financial Information Unit (for reporting entities) – fiu@mongolbank.mn
- General Intelligence Agency (all others). - amltf@gia.gov.mn

DETAILS OF PERSON REQUESTING IDENTIFICATION ASSISTANCE

Name of person/business making the request:

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Postal address:

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Street address (if different):

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Contact person:

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Telephone number:

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Email address:

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DETAILS OF POSSIBLE MATCH

Bank customer Payment search

Non-bank customer Database search

Customer full name:

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Customer address:

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Customer date of birth:

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Linked accounts:

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Details of all assets held in relation to possible match:

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Identification document details:

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Copy of identification documents attached: Yes No

REFERENCES:

Mongolia List reference

number/s:.....

United Nations Security Council Consolidated List reference:

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Names of individuals or entities:

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PAYMENT DETAILS (IF APPLICABLE)

Sender's name:

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Sender's postal address:

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Sender's street address:

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Other details of sender:

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Source of funds:

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Recipient's name:

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Recipient's postal address:

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Recipient's physical address:

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Other details of recipient (eg. Bank account details):

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Date and location of transaction:

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Purpose of transaction:

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HAS A SUSPICIOUS TRANSACTION REPORT BEEN LODGED: Yes No

If yes, date lodged:

Reference number:

OTHER COMMENTS

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TO BE COMPLETED BY FIU

Date referral received:

Method of Receipt:

Fax: Email: Telephone:

FIU Contact Details:

PRIMARY CONTACT:

Telephone:

Fax Number:

Email

Address:

FIU Response details

Date response provided:

Email Response to:

Response

Likely that the asset is owned or controlled by a proscribed person or entity

Unlikely that the asset is owned or controlled by a proscribed person or entity;

Unknown whether the asset is owned or controlled by a proscribed person or entity

Additional information (if applicable):