

**FORM FOR COLLECTING QUANTITATIVE AND QUALITATIVE DATA OF  
REPORTING ENTITY'S OPERATION ON COMBATING MONEY LAUNDERING  
AND FINANCING OF TERRORISM**

**1. Form of quantitative data of regulatory organization**

**A. SECURITIES COMPANY**

	<b>Legal Arrangement</b>	<b>Sales Amount /MNT/</b>
<i>By type of service</i>		
Broker		
Dealer		
Underwriting*		
<i>By type of customer</i>		
Resident natural person		
Resident legal person		
Non resident natural person		
Non resident legal person		
Politically exposed person		
<i>By service delivery channel</i>		
Central Office		
Branches		
<i>By geographical location</i>		
Ulaanbaatar city		
Rural area		
Free economic zone		
Countries of FAFT List		
Other countries		

*\*If the company operated in underwriting sector, the accumulated assets should be included in this form.*

## B. INSURER AND INSURANCE INTERMEDIARIES

	Total accumulated fee income /MNT/	Quantity of contract	Individual	Entity	Compensation /MNT/
<i>By type of service</i>					
Assets Insurance					
Construction Assembling Insurance					
Personal Accident and Treatment Insurance					
<i>By type of customers</i>					
Resident natural person					
Resident legal person					
Non resident natural person					
Non resident legal person					
Politically Exposed Person					
<i>By service delivery channel</i>					
Insurance Representative					
Insurance Intermediary					
Branch					
Central Office					
<i>By geographical location</i>					
Ulaanbaatar city					
Rural Area					
Free economic zone					
Countries of FAFT List					
Other countries					

### C. NON BANK FINANCIAL INSTITUTIONS

	Quantity of all services	Cash transaction amount /MNT/	Loan /MNT/
<i>By type of service</i>			
Cash transaction service			
Trust service payment			
Foreign currency exchange			
<i>By type of customers</i>			
Resident natural person			
Resident legal person			
Non resident natural person			
Non resident legal person			
Politically exposed person			
<i>By service delivery channel</i>			
Central office			
Branch and representative's office			
Mobile based service			
<i>By geographical location</i>			
Ulaanbaatar city			
Rural area			
Free economic zone			
Countries of FAFT List			
Other countries			

## D. SAVINGS AND CREDIT COOPERATIVES

	Amount of all services	Total amount of savings /MNT/	Total amount of loan /MNT/
<i>By type of service</i>			
Savings			
Loan			
<i>By type of customers</i>			
Resident natural person			
Resident legal person			
Non resident natural person			
Non resident legal person			
Politically exposed person			
<i>By service delivery channel</i>			
Central office			
Branch and representative's office			
Mobile based service			
<i>By geographical location</i>			
Ulaanbaatar city			
Rural area			
Free economic zone			
Countries of FAFT List			
Other countries			